

ANNEX 1. Monitoring activities

IPA MONITORING COMMITTEE MEETING ON 7 November 2013 Programme period assessed 01/01/2012 – 31/12/2012				
Component	Programme		Sectoral/Joint Monitoring Committee Meeting Date/Location/ <dd/mm/yyyy> <location>	Sectoral/Joint Monitoring Committee Report <dd/mm/yyyy>
I – TAIB	2008-2009-2010-2011	Ministry for EU Affairs	15 February 2012 - Ankara	16 March 2012
			26 June 2012 Ankara	6 August 2012
II – CBC	ENPI Black Sea Basin CBC Programme		15 May 2012 Romania	15 May 2012
	2012	Ministry for EU Affairs	19 June 2012 Romania	19 June 2012
III – Regional Development	Transport		28 June 2012 (10th SMC) İstanbul	17 August 2012
	2007 - 2013	Ministry for Transport Maritime and Communication	13 November 2012 (11th SMC) Ankara	15 February 2013
	Environment		27 June 2012 (10th SMC) Ankara	10 October 2012
	2007 - 2013	Ministry of Environment and Urbanization	12 November 2012 (11th SMC) Ankara	26 March 2013
	Competitiveness		27 June 2012 (10. SMC) Ankara	19 July 2012
	2007 - 2013	Ministry of Science, Industry and Technology	13 November 2012 (11. SMC) Ankara	04 December 2012
IV – Human Resources Development	2007 - 2013	Responsible authority	20-21.06.2012 (10.SMC) Şanlıurfa	19 July 2012
			30.11.2012 (11.SMC) Ankara	22 October 2012
V – IPARD	2007 - 2012	Responsible authority	6 June 2012 (7. MC) Ankara 5 December 2012 (8. MC) Ankara	12 August 2012 16 January 2013

Annex 2. Summary of the findings, conclusions and recommendations of the Sectoral/Joint Monitoring Committees

COMPONENT	Findings	Conclusions	Recommendations
Component I First Meeting:	<p>Although the general situation seems positive with the significant developments realized in the implementation of the programmes and accreditation processes of the Operating Structures under Components 3 and 4, the backlogs originated by the overall low contracting and payment rates and the high rejection rates in the evaluation reports and tender dossiers might still create problems.</p> <p>With regard to the monitoring system significant progress has been made throughout 2011. By putting special emphasis on the necessary share of information among the different actors the updated operational conclusions of the monitoring working groups were endorsed. In the context of 2011 activities, reshaping the Progress and Monitoring Report template and accordingly fixing it as final and agreeing upon the clarified roles of Project Steering Committees were reported as other major developments.</p>	<p>The preparation of the Comprehensive Action Plan is clearly a positive development in terms of addressing the issues raised by the auditors and Commission. Its effective implementation and follow-up are other matters of crucial importance.</p> <p>The experience and knowledge of CFCU regarding tendering, contracting, payments and rejection rates is highly relevant and beneficial for OSs and needs to be disseminated among them.</p> <p>Progress has been made with regard to contracts and payments in 2011, but special care will have to be devoted to improve the level of contracting and payments substantially and in order to make the payment forecasts more realistic.</p> <p>When an institution in Turkey initiates communication regarding the projects with their related Directorate General in Commission or a DG communicates with their Turkish counterpart, they should inform both MEU and DG ELARG in order to identify the possible problems and to take necessary measures timely.</p> <p>Due to the differing implementing modalities between ENPI and IPA rules, there is a risk of de-commitment of a significant amount of funds and transfer of funds from the TA budget would not mitigate this risk. The incompatibility between those regulations should be resolved in the next IPA period in order not to encounter similar problems.</p> <p>Faster and the proper utilization of the ESEI instrument is of utmost importance. Therefore, it would be beneficial to put time limitations for the evaluation processes of the projects.</p>	<p>The Statement of Assurance should also reflect the measures taken to address the weaknesses in the management and control system.</p> <p>Concerning the different audit reports that refer to the same issues repeatedly, simplifying the reporting by making references to all outstanding issues identified in different audit reports might avoid repetition and loss of time.</p> <p>Problems encountered by the monitoring staff of MEU in terms of travel costs and subsistence is a serious obstacle both to the capacity building process and the period after the takeover of the monitoring responsibility from the current technical assistance team. Therefore, necessary steps should be taken by the competent authorities to address the issue, which is also relevant for NAO and CFCU staff.</p> <p>Although it is beneficial to prepare the monitoring plans for the NP without waiting for the signature of all contracts, it would be most appropriate and effective to conduct the monitoring activities just after the start of project implementation.</p> <p>It would be useful if the members of the Sectoral Monitoring Sub Committees (SMSCs) would reflect the composition or even would be the same representatives of the working groups that are being set up for the programming exercise in order to provide feedback from monitoring to programming and strengthen the linkage between them.</p>
Component I – 2 nd Meeting		<p>Official meeting will be organized with the participation of M. of Food, Agriculture and Livestock, the EUD and the MEU to discuss alternatives and take decision on the</p>	<p>It is recommended by the EU side to organize a meeting particularly for ESEI facilitation with the participation the EUD and the MEU, and it is agreed to hold a meeting for</p>

		<p>reallocation of total amount of 27 M Euro of Foot and Mouth Disease.</p> <p>Concerning facilitation and effective functioning of PMR, CFCU and MEU will meet to address European Commission's concerns prior to next SMSCs which is envisaged to be held on November 2012. EC side underlined that before the next SMSCs in 3rd Quarter in 2012 the recent PMRs should be ready to general discussions.</p>	ESEI in early July. Exact date for the meeting will be determined between parties later on.
Component II		<p>To award a grant to the first 4 projects (no. 211, 251, 264, 271,) from the reserve list, the amount allocated for the second call for proposals is 18.990.005 euro representing ENPI funds and 5.637.020,55 euro representing IPA funds,</p> <p>Joint Monitoring Committee approved the revised Terms of reference for the recruitment of 4 staff positions within the Joint Technical Secretariat (JTS) of the Joint Operational Programme "Black Sea Basin 2007-2013",</p> <p>The decisions of the Joint Monitoring Committee on 19th of June 2012, and to specify the correct value of the ENPI grant awarded for project no. 2.2.3.73402.306, MIS-ETC 2661, as EUR 435,097.99.</p>	
Component III - BROP		<p>During the 11th SMC meeting, Operation Monitoring Report Template developed by the RCP-CID in order to collect reliable and necessary data as regards projects progress was accepted and it was decided that these Reports will be used in the preparation of future Sectoral Annual Implementation Reports and Monitoring Sheets.</p>	
Component III - EOP		<p>Accurate payment forecasts will be send to EC.</p> <p>New training courses will be delivered.</p> <p>New projects addition to the prioritization list in EOP and modification of EOP.</p> <p>10 experts will be recruited to cope with increase in workload.</p>	<p>Updating the website more often both in English and Turkish with news and updated information also on some of the requirements like updated list of the beneficiaries of the funds.</p> <p>Adoption of the Commission Decisions regarding program modification.</p> <p>Using other forms of assistance like twinning or light twinning light as complementary assistance for the Turkish Authorities.</p>
Component III – TOP – 1 st Meeting	Decision for Revision of OP and the conferral of management to MoTMC were expected to be received within 3 th quarter of 2012.	In order to avoid de-commitment risk, the Certified Statement of Expenditure must be sent to EC by the end of December.	Legal procedures should be accelerated for Commission Decision for revision of OP and conferral of management to MoTMC.

	<p>Performance of the contractors at site in both Köseköy-Gebze Railway Line project and Irmak-Karabük-Zonguldak Railway Line project are critical as to the de-commitment risk.</p> <p>Studies for railway modernization projects in the pipeline are of paramount importance.</p>	<p>It was asserted that studies for railway modernization projects in the pipeline need to be accelerated.</p>	<p>Performance of the contractor should be delicately monitored to avoid de-commitment risk.</p> <p>Project pipeline studies should be continued without any delay.</p>
Component III- TOP-2 nd Meeting	<p>Developments for Köseköy-Gebze Railway Line Project and Irmak-Karabük-Zonguldak Railway Line Project were mentioned.</p> <p>Regarding the project pipeline, information was delivered on Filyos Port Project, Halkalı-Kapıkule Railway Line Project and other railway modernization projects.</p> <p>Participants were informed about the de-commitment risk for year 2012.</p>	<p>Commission Decision of 12 November 2012 for the Conferal of Management for Transport OS was declared by Commission representative.</p> <p>It was asserted that studies for railway modernization projects in the pipeline need to be accelerated.</p> <p>In order to avoid de-commitment risk, the Certified Statement of Expenditure must be sent to EC by the end of December</p>	<p>Performance of the contractor should be delicately monitored to avoid de-commitment risk.</p> <p>Project pipeline studies should be continued without any delay.</p>
Component IV	<p>n+3 decommitment risks were mentioned.</p>	<p>HRD OS avoided the de-commitment risk from previous year.</p>	<p>HRD OS need to continue its effort in order to avoid loss of funds by the end of 2012.</p> <p>A sound project and operation pipeline should be developed for future programming.</p>
Component V		<p>During the 7th Monitoring Committee Meeting convened on 06.06.2012, proposals concerning the modification of the Programme were accepted.</p> <p>During the 8th Monitoring Committee Meeting convened on 05.12.2012, July 2011-August 2012 Monitoring Report, Communication and Publicity Action Plan 2013 and Technical Assistance Action Plan 2013 were approved.</p>	

Annex 3.1 Annual IPA implementation progress ⁽¹⁾

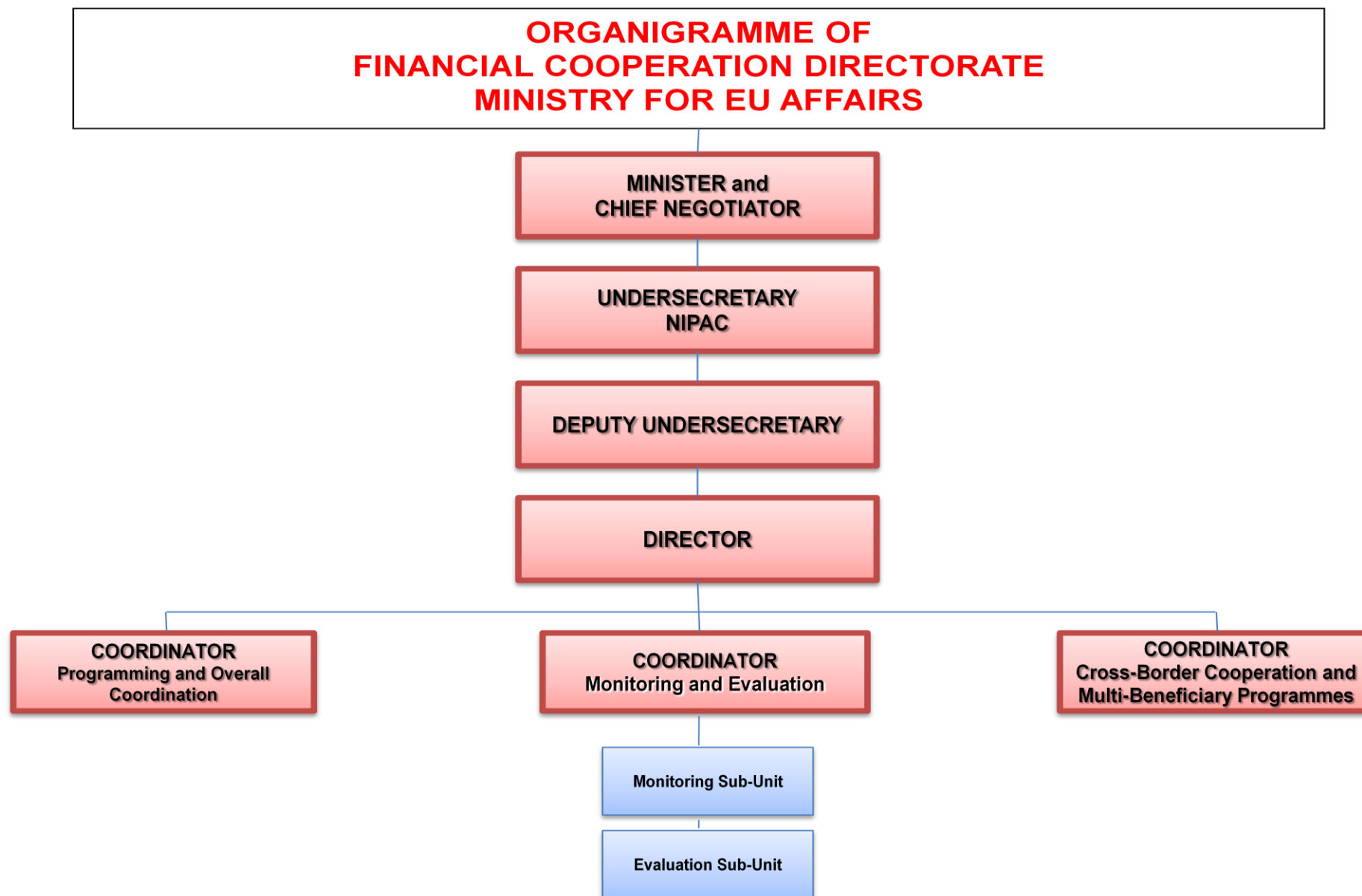
Component	Programme	Contracted during the assessed period in %	Contracted since the start of the Programme in %
I – TAIB	2007	0	89,75
	2008	26,59	93,93
	2009	38,05	58,97
	2010	10,2	13,65
	2011 (1 st package)	23,50	23,50
II – CBC	<Black Sea Basin Programme> <2007-2013>	59,23	75,71
III – RD	Transport 2007 - 2013	0	55,52
	Environment 2007 - 2013	36,30	38,50
	Competitiveness 2007 - 2013	4 ²	19 ³
IV – HRD	2007 - 2013	5,83% ⁴	26,03%
V – IPARD	2007-2012	14,03	14,11

⁽¹⁾ One row per year.

⁽²⁾ % of contracted amount in 2012 (22,4 MEUR) / 2007-2013 RCOP budget (565,1 MEUR)

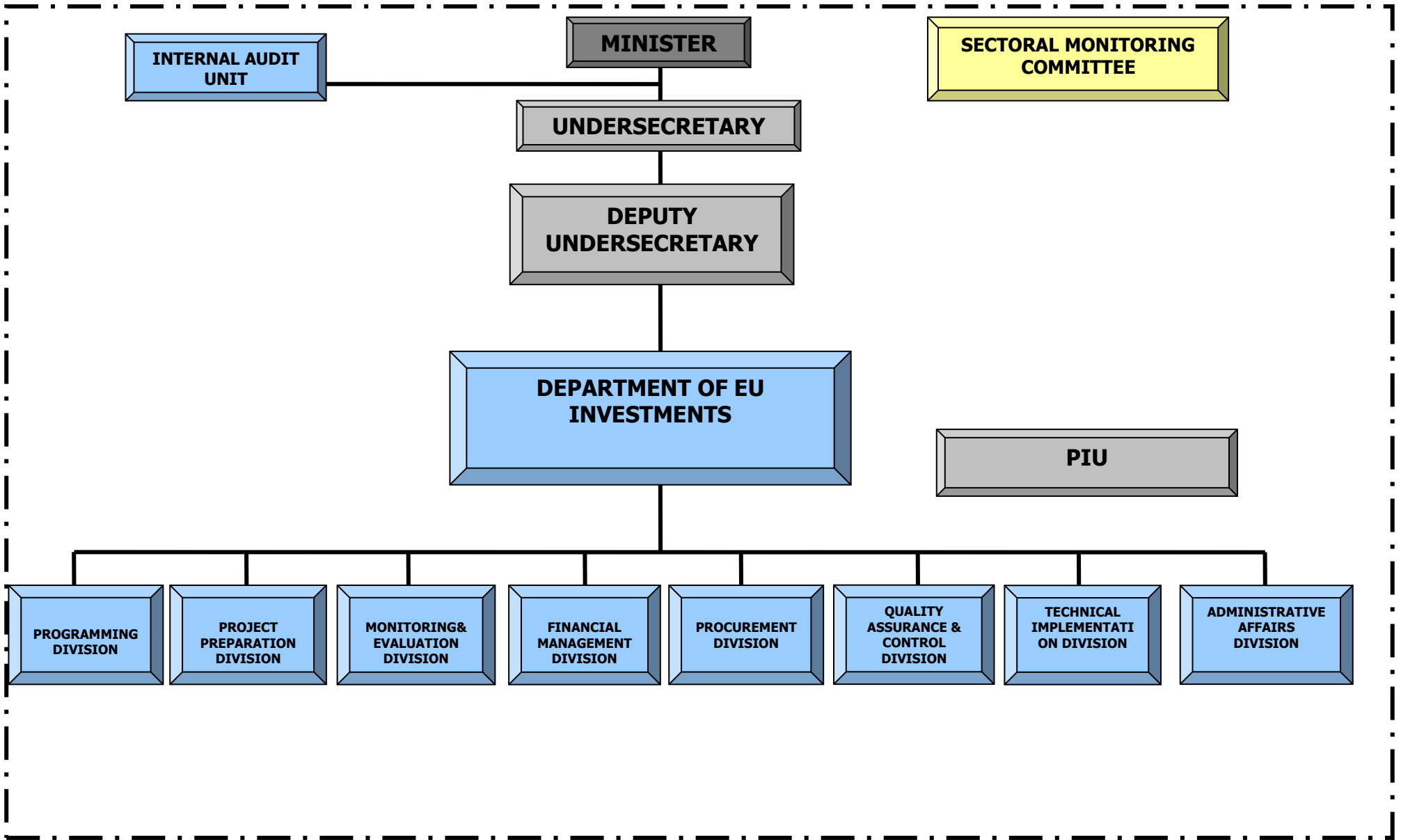
⁽³⁾ % of contracted amount between 2007- 2012 (106,9 MEUR) / 2007-2013 RCOP budget (565,1 MEUR)

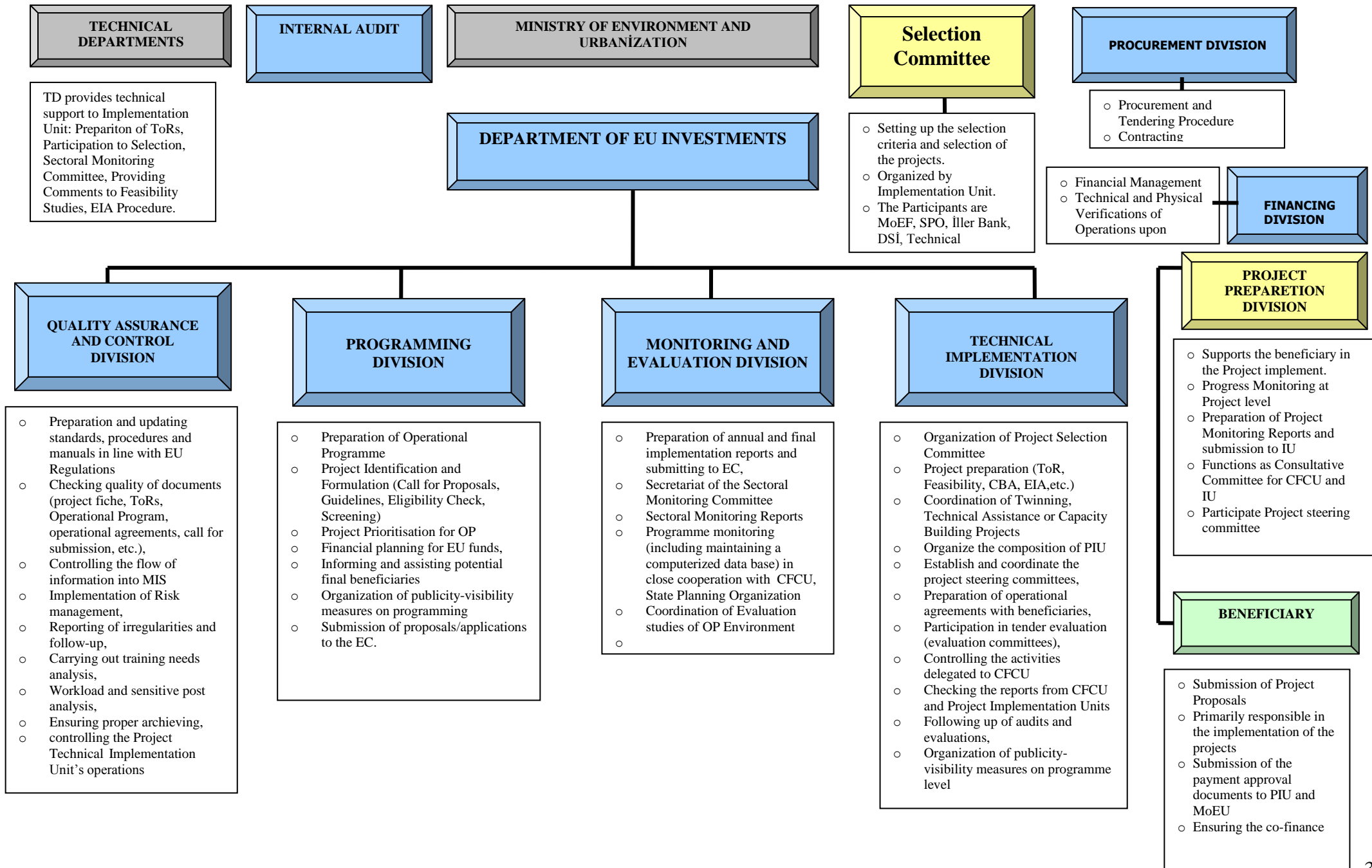
⁴ Total contracted amount in 2012 was 32.513.100,20 €.



Annex 3.2.3a

THE ORGANIGRAMME OF DEPARTMENT OF EU INVESTMENTS
MINISTRY OF ENVIRONMENT AND URBANIZATION

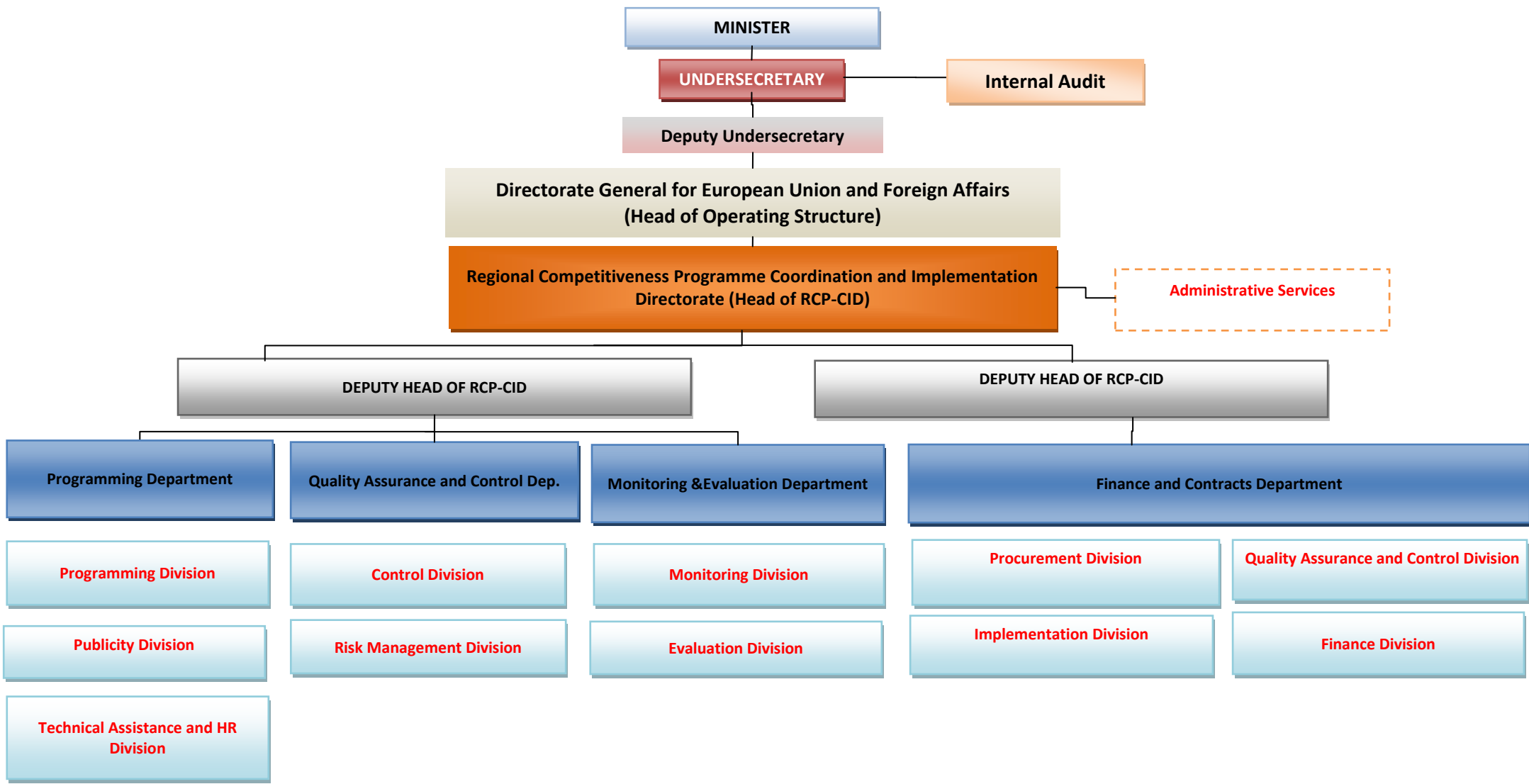


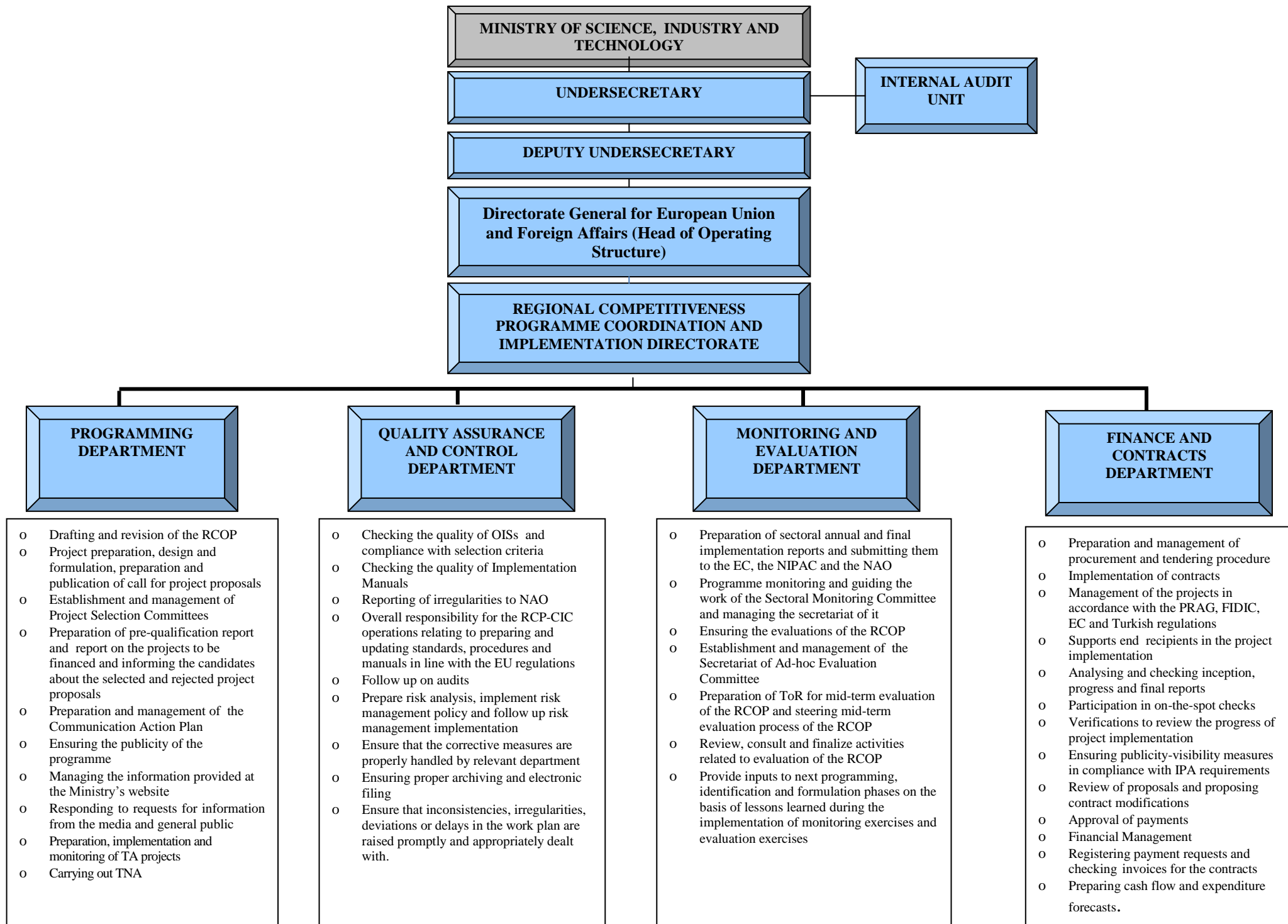


Annex 3.2.3b

**THE ORGANIGRAMME OF THE REGIONAL COMPETITIVENESS
PROGRAMME COORDINATION AND IMPLEMENTATION DIRECTORATE**

MINISTRY OF SCIENCE INDUSTRY AND TECNOLOGY

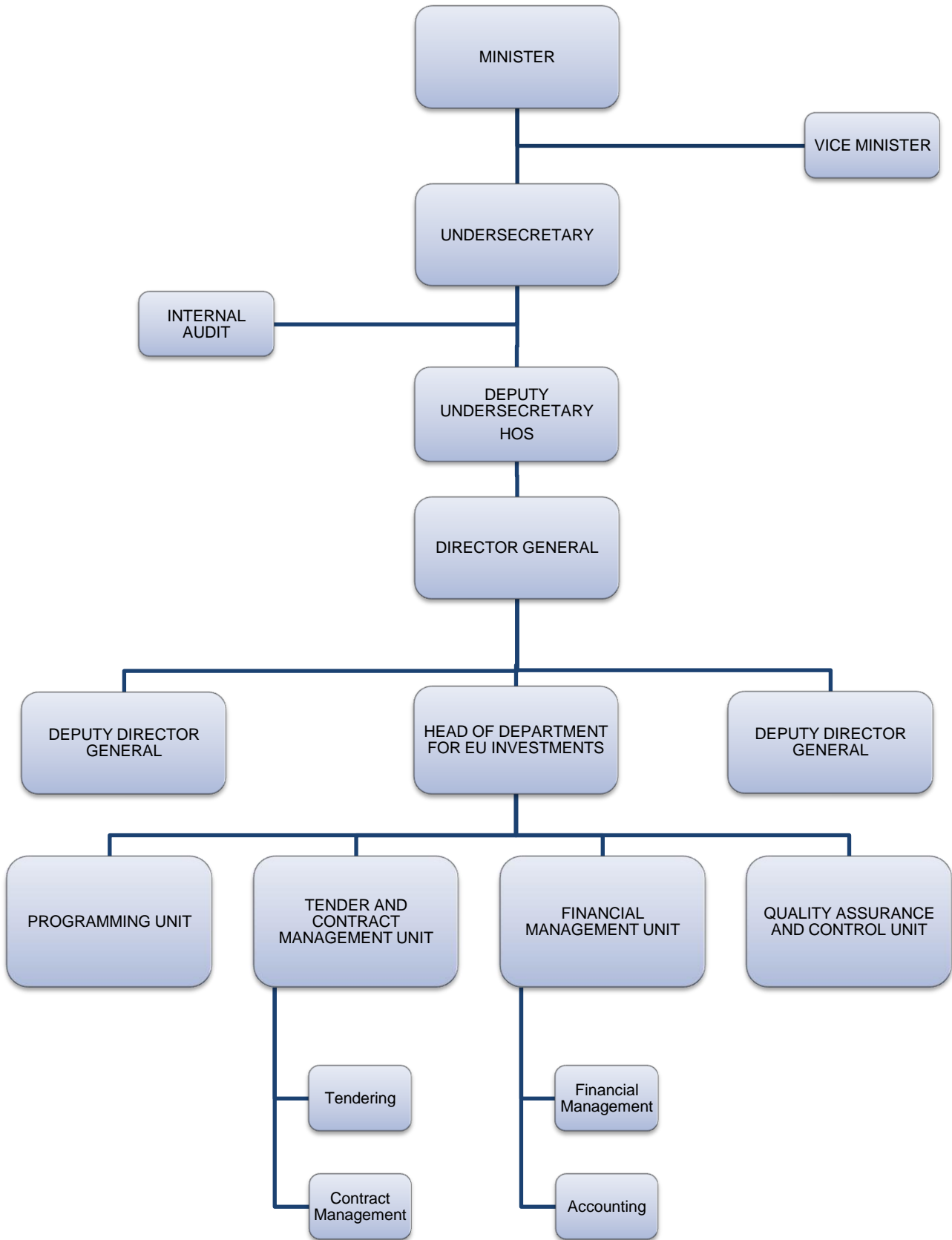




Annex 3.2.3c

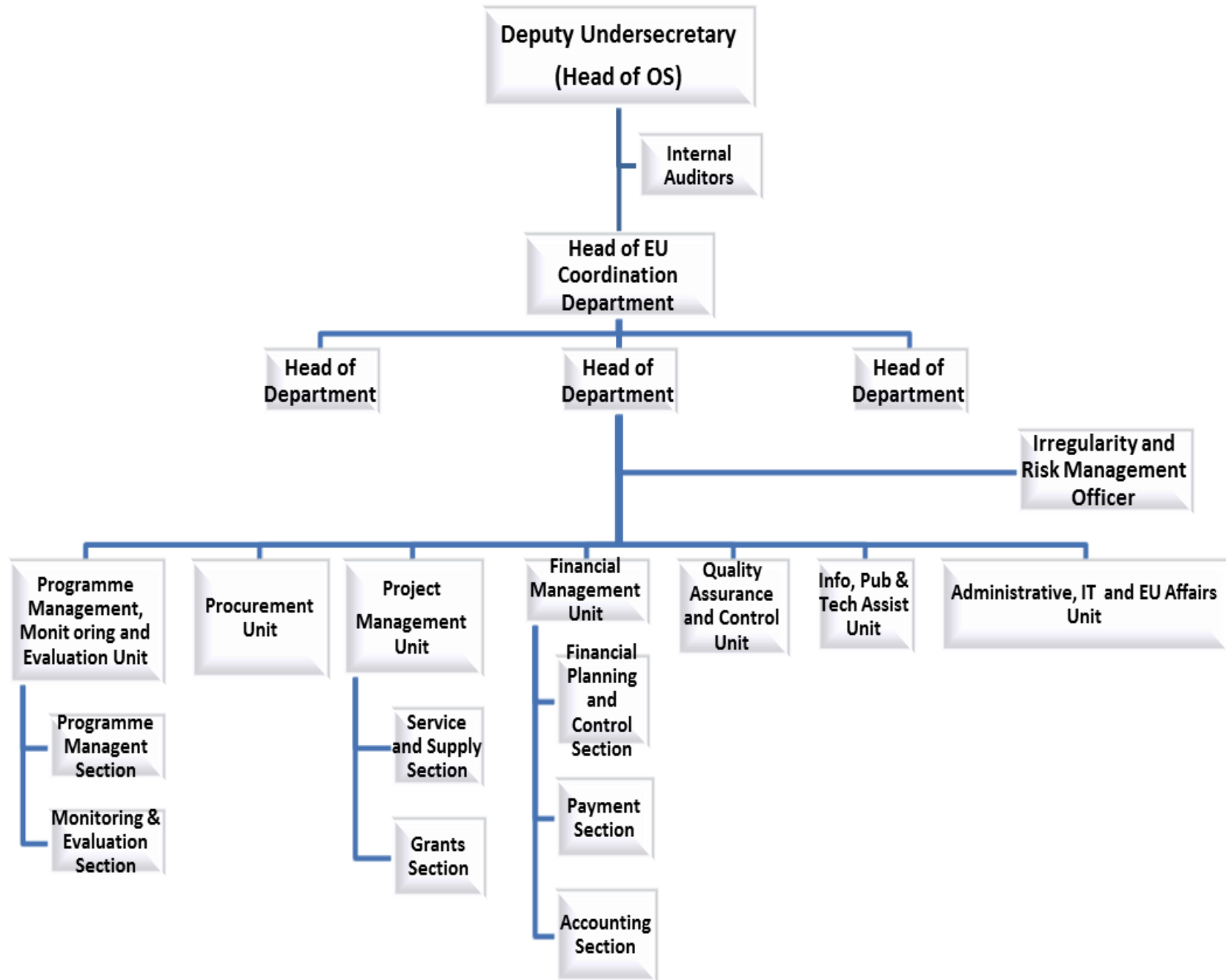
**THE ORGANIGRAMME OF DEPARTMENT FOR
EU INVESTMENTS**

**MINISTRY OF TRANSPORT, MARITIME AFFAIRS
AND COMMUNICATIONS**



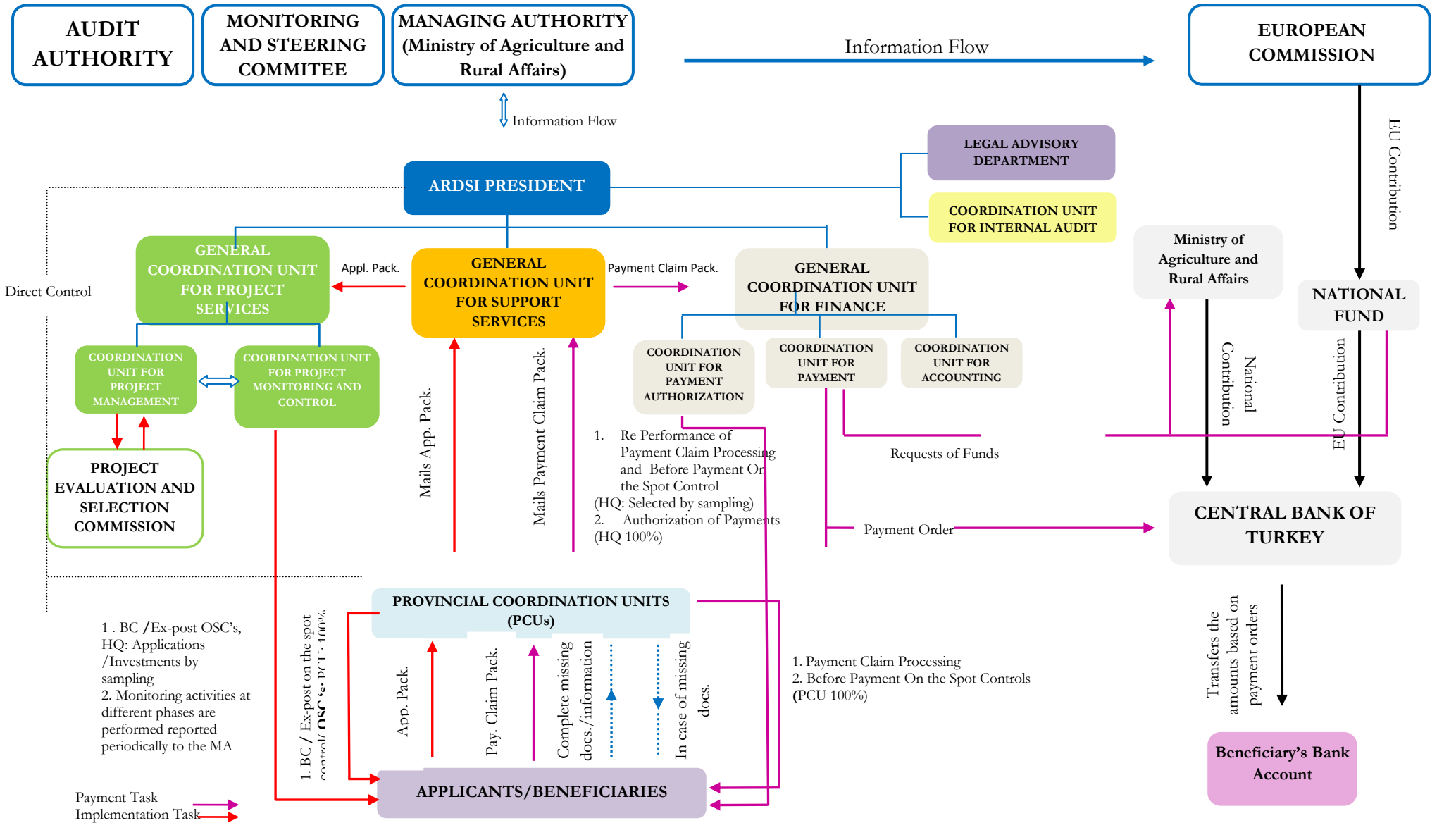
Annex 3.2.4

THE ORGANIGRAMME OF EU COORDINATION DEPARTMENT
MINISTRY OF LABOUR AND SOCIAL SECURITY

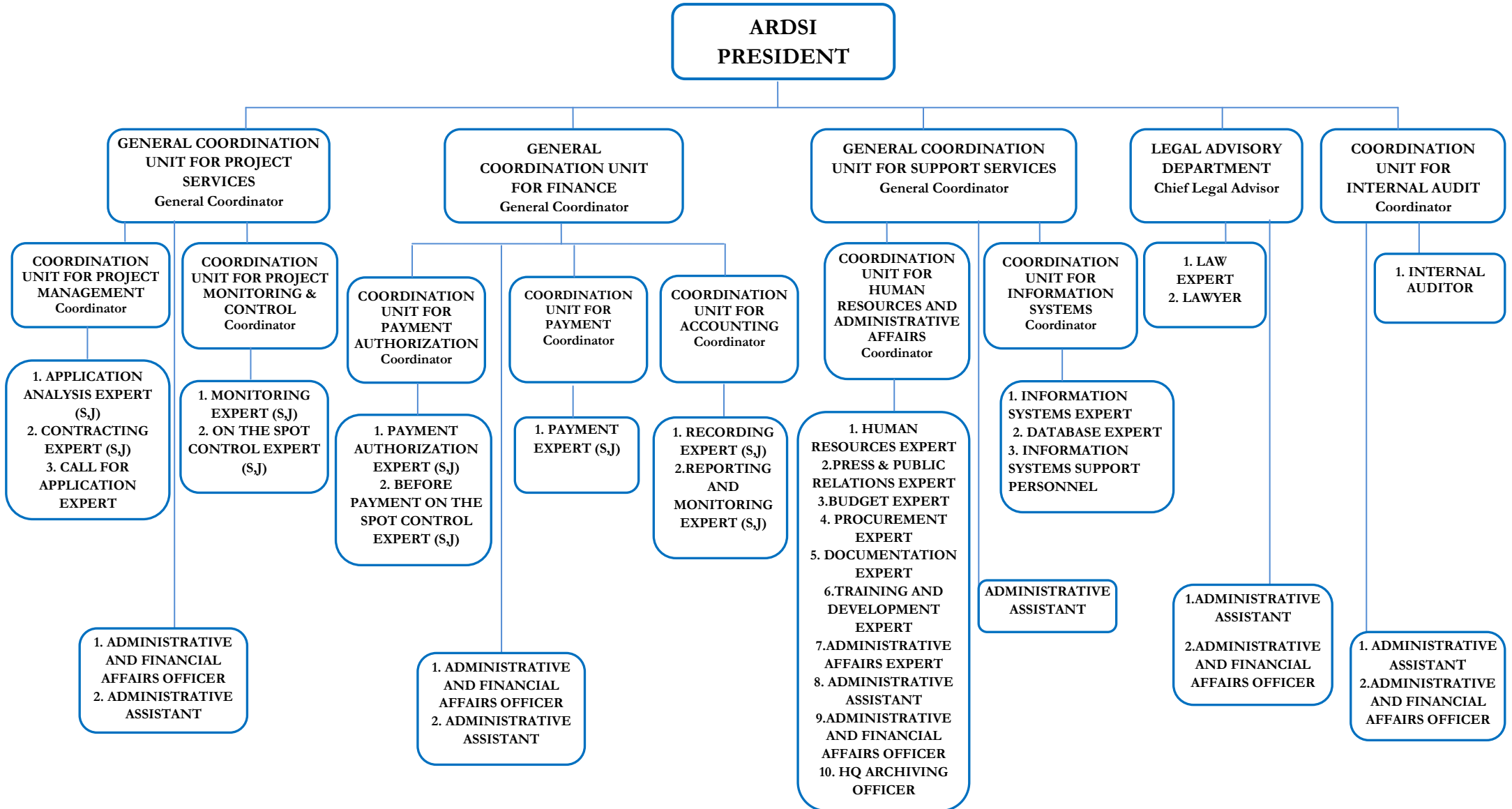


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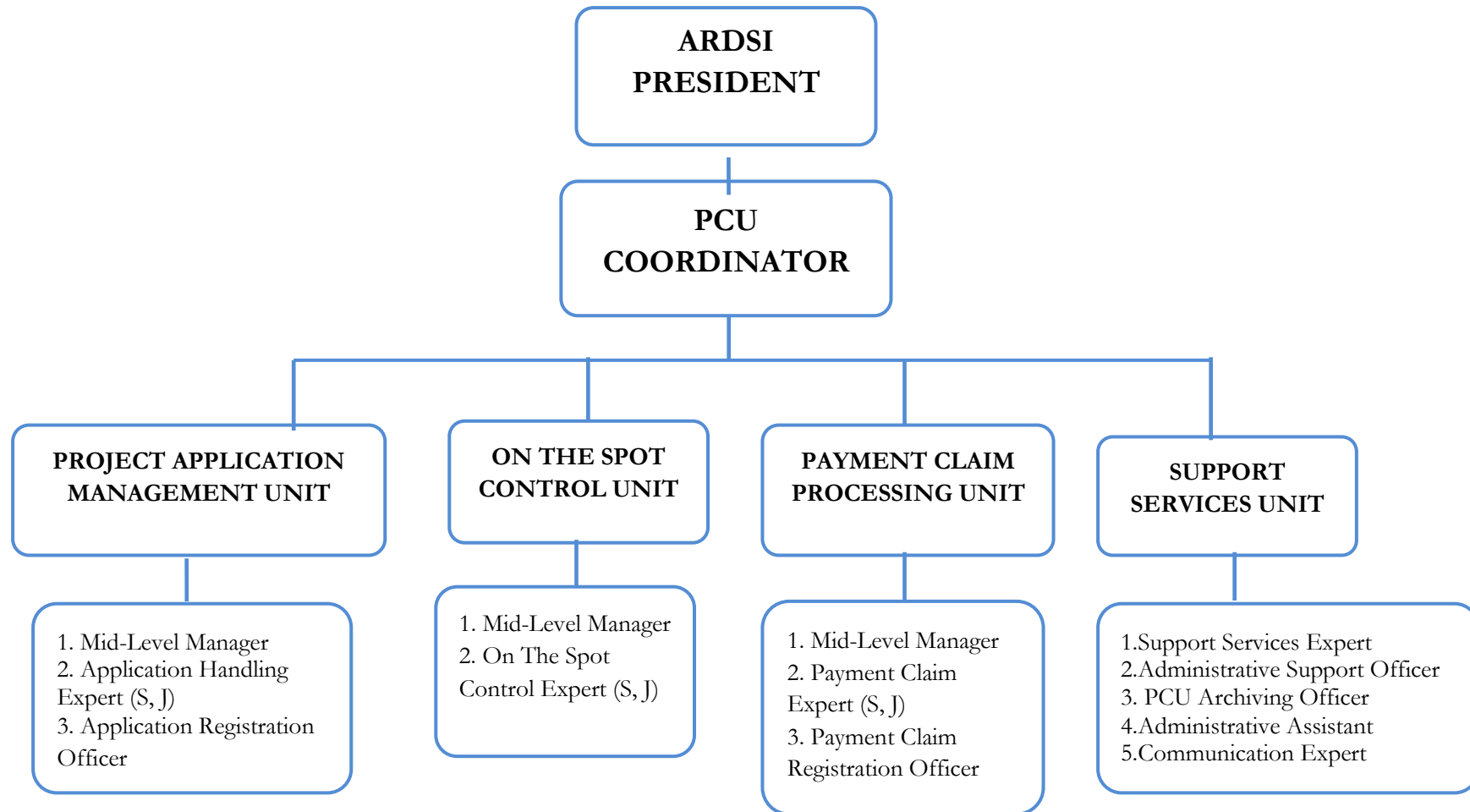
GENERAL ORGANIZATIONAL DIAGRAMME



**0006
HEADQUARTER ORGANIZATIONAL CHART**



**0007
PROVINCIAL COORDINATION UNITS ORGANIZATIONAL CHART**



Annex 4. – Annual IPA implementation report - Financial progress, Cut off date: 31/12/2012

IPA COMPONENT 20XX	Commitments					Disbursements					IPA Budget	National budget	Total IPA + national budget
	Total IPA + national public contribution	IPA Community contribution		National public contribution		Total IPA + national public contribution	IPA Community contribution		National public contribution				
	EUR	EUR	% ¹	EUR	% ²	EUR	EUR	% ⁽¹⁾	EUR	% ⁽²⁾			
I. TAIB: 2007 – 2011(1st part)											636.506.099	95.617.616,53	732.123.715,53
Number of projects contracted: XX	476.536.114	415.173.721	65,2	61.362.393	64,1								
Number of projects completed: XX						256.637.709	230.568.250	35,1	26.069.459	27			
II. CBC: BLACK SEA BASIN PROGRAMME <2007 - 2013>											7.000.000	777.777	7.777.777
Number of projects contracted: XX	5.830.265	5.300.241	68,1	530.024	68,1								

¹ In percentage of IPA budget

² In percentage of national budget

IPA COMPONENT 20XX	Commitments					Disbursements					IPA Budget	National budget	Total IPA + national budget
	Total IPA + national public contribution	IPA Community contribution		National public contribution		Total IPA + national public contribution	IPA Community contribution		National public contribution				
	EUR	EUR	% ¹	EUR	% ²	EUR	EUR	% ⁽¹⁾	EUR	% ⁽²⁾			
Number of projects completed: XX													
III. RD : Transport <2007 – 2013>											584.716.662	103.185.303	687.901.965
Number of projects contracted: 6	387.587.668	324.624.404	55,5	62.963.264	61								
Number of projects completed: 1						71.561.335	60.827.135	10,4	10.734.200	10,4			
RD: Environment <2007 – 2013>											682.472.649	120.436.357	802.909.006
Number of projects contracted: 37	256.770.652	218.255.054	32	38.515.598	32								
Number of projects completed: XX						83.512.199	70.985.369	10,4	12.526.830	10,4			
RD: Competitiveness <2007 – 2013>											480.387.186	84.774.234	565.161.420
Number of projects contracted: 24	106.919.545	88.623.051	18	18.296.493	21,5								

IPA COMPONENT 20XX	Commitments					Disbursements					IPA Budget	National budget	Total IPA + national budget
	Total IPA + national public contribution	IPA Community contribution		National public contribution		Total IPA + national public contribution	IPA Community contribution		National public contribution				
	EUR	EUR	% ¹	EUR	% ²	EUR	EUR	% ⁽¹⁾	EUR	% ⁽²⁾			
Number of projects completed: 7						75.652.135	64.275.806	13,3	11.376.330	13,3			
IV. HRD <2007 – 2013>											474.055.077	83.423.152	557.478.229
Number of grants provided: 431 ³	72.951.120 (145.087.216)	62.008.452 (123.324.134)	13,1 (26)	10.942.668 (21.763.082)	13,1 (26)	62.632.678 (101.662.115)	53.238.940 (86.412.798)	11,2 (18,2)	9.393.738 (15.249.317)	11,2 (18,2)			
IV. IPARD <2007 – 2011>											463.000.000		
Number of projects contracted: 261	85.384.994	64.038.745	13,8	21.346.249									
Number of projects completed: 66						17.761.252	13.320.939	2,9	4.440.313				
Number of projects inspected: XX													

³ Numbers in brackets show the total commitment and disbursement amounts